

NJBEST 529 College Savings Plan¹

Rollover/Transfer Request Form

Use this form to request a rollover or transfer from another Section 529 program, Coverdell Education Savings Account (ESA), qualified Series EE or I Savings Bond (“Savings Bond”),² Uniform Gifts/Transfers to Minors Act (UGMA/UTMA) Custodial Account, or other investment to an NJBEST 529 College Savings Plan.

If you do not currently have an NJBEST 529 College Savings Plan Account, this form must be accompanied by an Account Application.

For assistance, please call (877) 4NJ-BEST/465-2378.

1 ACCOUNT OWNER AND BENEFICIARY

Account Owner name	Account Owner SSN/TIN
Beneficiary name	Beneficiary SSN/TIN

2 ROLLOVER/TRANSFER INSTRUCTIONS

OPTION A

Check here if you are including a check with this form.

- Make checks payable to “NJBEST 529 College Savings Program”.
- We can only accept standard checks, cashier’s checks and bank money orders. Second-party checks are acceptable.
- PROCEED DIRECTLY TO SECTION 4 (next page).

OPTION B

Check here if you would like Franklin Templeton to contact your current program’s manager or record-keeper to initiate a transfer/rollover.

- Contact the program manager or record-keeper to determine if there are additional requirements for transfer or rollover (example: distribution form)
- COMPLETE SECTION 3 (below).

3 INSTRUCTIONS TO CURRENT 529 PROGRAM MANAGER OR RECORD-KEEPER

Name of current Section 529 Program or other eligible investment Record-Keeper

Mailing address of Section 529 Program or other investment Record-Keeper

City State ZIP Phone number

Account Owner name, as appears on current 529 Program or other eligible investment account Account Owner SSN/TIN

Beneficiary name, as appears on current 529 Program or other eligible investment account Beneficiary SSN/TIN

Liquidate the following account(s) and forward proceeds to Franklin Templeton

Account numbers:

AND/OR

Withdraw a portion of the following account(s) and forward the proceeds to my NJBEST 529 College Savings Plan account:

\$ OR % From account number:

\$ OR % From account number:

\$ OR % From account number:

Note: Account Owner signature, Franklin Templeton acceptance signature and remittance instructions are provided on page 3.

1. Offered and administered by the New Jersey Higher Education Student Assistance Authority (HESAA); managed and distributed by Franklin Templeton Distributors, Inc., an affiliate of Franklin Resources, Inc., which operates as Franklin Templeton Investments. No federal or state guarantee. Principal value may be lost, and investing in the plan does not guarantee admission to college or sufficient funds for college. Please refer to the *Investor Handbook* for more complete information.

2. See the *Investor Handbook* for conditions on tax deferral of Savings Bond interest.

4 ROLLOVER DETAILS

Indicate the source of your rollover transfer and provide the required documentation as evidence of the breakdown of principal and earnings for the investment you are rolling over. If you do not provide a breakdown of your contribution by investment portion and earnings portion, the entire amount of the contribution may be treated as earnings that may be taxable upon withdrawal.

<input type="checkbox"/> 529 PROGRAM or COVERDELL ESA	<ul style="list-style-type: none"> • Provide a copy of an account statement from the current financial institution that shows the breakdown of principal and earnings for the investment that you intend to roll over/transfer to your 529 College Savings Plan account. The earnings portion of amounts distributed from another Section 529 Program or Coverdell ESA must be treated as earnings in the Plan Account. • If some or all of your existing Section 529 Program assets came from an UGMA/UTMA account, indicate the state where the UGMA/UTMA was established:
<input type="checkbox"/> UGMA/UTMA ACCOUNT NOT INCLUDING UGMA/UTMA-registered 529 program accounts. See option above.	<ul style="list-style-type: none"> • Indicate the state where the UGMA/UTMA was established: • Special considerations are related to transfers from UGMA/UTMA accounts. Unless otherwise permitted in connection with interest treated as taxable income in the year of the transfer, any amount of interest accrued on Series EE or I Savings Bonds must be treated as earnings in the Plan Account.
<input type="checkbox"/> SERIES EE OR I SAVINGS BOND	<ul style="list-style-type: none"> • Provide a copy of Form 1099-INT or an account statement from the current financial institution that shows the breakdown of principal and earnings for the investment that you are transferring to your 529 College Savings Plan account.
<input type="checkbox"/> OTHER INVESTMENT(S)	<ul style="list-style-type: none"> • Indicate the source of your rollover/transfer contribution: _____

5 INVESTMENT INSTRUCTIONS

- Please indicate the percentage or amount to be invested into each investment option below.
- You may invest in multiple investment options (\$25 minimum)

Investment Option	Allocation or Percentage (\$25 Min. Each)	
Age-Based Asset Allocations		
Franklin Conservative Allocation 529 Portfolio (age-based)	\$ _____	_____ %
Franklin Moderate Allocation 529 Portfolio (age-based)	\$ _____	_____ %
Franklin Growth Allocation 529 Portfolio (age-based)	\$ _____	_____ %
Objective-Based Asset Allocations		
Franklin Corefolio® 529 Portfolio	\$ _____	_____ %
Franklin Growth Allocation 529 Portfolio	\$ _____	_____ %
Franklin Growth & Income Allocation 529 Portfolio	\$ _____	_____ %
Franklin Income Allocation 529 Portfolio	\$ _____	_____ %

Investment Option	Allocation or Percentage (\$25 Min. Each)	
Individual Portfolios		
S&P 500 Index 529 Portfolio	\$ _____	_____ %
Franklin U.S. Government Money 529 Portfolio ³	\$ _____	_____ %
TOTAL (must equal dollar amount of contribution or electronic contribution)	\$ _____	_____ %

3. The Franklin U.S. Government Money 529 Portfolio is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency and it is possible to lose money by investing in the portfolio.

6 ACCOUNT OWNER SIGNATURE AND CERTIFICATION

I hereby certify that I have read the portion of the *Investor Handbook* entitled, "Opening, Maintaining and Contributing to an Account—Transfers and Rollovers" and understand the rules and regulations governing rollover distributions from Section 529 Programs. I also certify that, if a distribution from another Section 529 program has been made prior to my completion of this form, then any purchase of shares in the NJBEST 529 College Savings Plan with the proceeds of that distribution will be made within 60 days of that distribution.

X _____ Date _____
Account Owner

SIGNATURE GUARANTEE: (if required by the current Section 529 Program or other investment Record-Keeper; not required by NJBEST)

NJBEST 529 COLLEGE SAVINGS PROGRAM ACCEPTANCE (No action is required in this section)

The NJBEST 529 College Savings Plan hereby accepts the transfer/rollover as requested herein and, upon receipt, will deposit the proceeds in an account established on behalf of the Account Beneficiary named herein.

X 

Authorized Signature—NJBEST 529 College Savings Program

Instructions to Account Owner

- Please send the completed Rollover/Transfer Request Form to the address provided below.
- If you do not currently have an NJBEST 529 College Savings Plan account, this form must be accompanied by an Account Application.

Instructions to 529 Program Manager or other Investment Record-Keeper

- Make check(s) payable to "NJBEST 529 College Savings Plan, (Account Owner name) FBO (Account Beneficiary name)—Transfer".
- Mail check(s) to the address provided below.

Please mail to

Regular	NJBEST 529 College Savings Plan P.O. Box 33090 St. Petersburg, FL 33733-8090
Overnight	NJBEST 529 College Savings Plan 100 Fountain Parkway St. Petersburg, FL 33716-1205



Questions? Please call **(877) 4NJ-BEST**.